MA

|   | Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Small Entity)  |   |  |              |                  |                | et No.<br>0042 |  |  |
|---|---|---|--|--------------|------------------|----------------|----------------|--|--|
|   | In Re Application Of: A. JOHN SPERANZA et al.   |   |  |              |                  |                |                |  |  |
|   | Serial No. Filing Date 09/909,845 July 20, 2001   |   |  | Examiner     |                  | Group Art Unit |                |  |  |
|   | Invention: ELECTROC DEMICAL CELL SYSTEM OUTPUT CONTROL METHOD AND APPARATUS  OCT 1 5 2001   |   |  |              |                  |                |                |  |  |
|   | TO THE ASSISTANT COMMISSIONER FOR PATENTS:  |   |  |              |                  |                |                |  |  |
|   |   | Attention: Manager, Application Branch                |  |              |                  |                |                |  |  |
|   |   | ☑ Completion of application fees as calculated below: |  |              |                  |                |                |  |  |
| 14.4                                    | ☑ Utility   | ☑ Utility application filing fee                      |  |              |                  |                |                |  |  |
|   | ☐ Desig   | gn application filing fee                             |  |              |                  |                |                |  |  |
| 12 W. W.                                | ☑ Total   | ☐ Total number of independent claims =                |  |              |                  |                |                |  |  |
| 有有不明 是,有有 第二 " 如如 奔口 如此 探沟 经外有 非 经 经    | ☑ Total number of claims =42  |   |  |              |                  |                | \$198.00       |  |  |
| 11 11 11 11 11 11 11 11 11 11 11 11 11  | ☐ Multiple dependent claims   |   |  |              |                  |                |                |  |  |
| f 15. 15.                               | Surcharge for late payment of filing fee and/or late filing of original declaration or oath     ■ \$65.00   |   |  |              |                  |                |                |  |  |
| 111111111111111111111111111111111111111 | Petition and fee for filing by other than all the inventors or a person not the inventor  |   |  |              |                  |                |                |  |  |
|   | Fee for processing an application filed with a non-English language specification   |   |  |              |                  |                |                |  |  |
|   | ☐ Fee for processing and retention of application   |   |  |              |                  |                |                |  |  |
|   |   |   |  | Total co     | ompletion of app | olication fees | \$801.00       |  |  |
|   | This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor. |   |  |              |                  |                |                |  |  |
|   | ☐ One m   | _   |  | Three months | ☐ Four mor       | ,              | ive months     |  |  |
|   | from:   |   |  | until:       | Da               | nte.           |                |  |  |
|   |   | Date  |  |              |                  | extension fees |                |  |  |
|   |   |   |  |              |                  | Total fees due | \$801.00       |  |  |

| TRA   |               | Docket No.<br>PES-0042  |          |          |  |  |  |  |  |  |
|---|---------------|---|----------|----------|--|--|--|--|--|--|
| In Re Application Of: A. JOHN SPERANZA et al. |               |   |          |          |  |  |  |  |  |  |
| Serial No.                                    | Filing Date   | Batch No.   | Examiner | Art Unit |  |  |  |  |  |  |
| 09/909,845                                    | July 20, 2001 | TAIL THE STATE OF |          |          |  |  |  |  |  |  |

Invention: ELECTROCHEMICAL CELL SYSTEM OUTPUT CONTROL METHOD AND APPARATUS

Address to.

Assistant Commissioner for Patents Washington, D.C. 20231

Transmitted herewith are:

Six (6) sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c) on the reverse side of the drawing.

Signature

Leah M. Reimer Registration No. 39,341 Customer No. 23413 Dated: October 10, 2001

I certify that this document and attached formal drawings are being deposited on October 10, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Marjorie R. Humes

Typed or Printed Name of Person Mailing Correspondence



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/909.845

07/20/2001

A. John Speranza

PES-0042

CONFIRMATION NO. 8798 FORMALITIES LETTER

\*OC00000006511565\*

CANTOR COLBURN LLP 55 Griffin Road South Bloomfield, CT 06002

Date Mailed: 09/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$358.
  - \$198 for 22 total claims over 20.
  - \$160 for 4 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 778.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

## 10/18/2001 BNGUYEN1 00000002 09909845

| 01 | FC:201 | 370.00 OP |
|----|--------|-----------|
| 95 | FC:203 | 198.00 OP |
|    | FC:205 | 65.00 OP  |
| 04 | FC:202 | 168.00 OP |



A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE